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MIRROR SYMMETRY ON K3 SURFACES AS A HYPERKÄHLER ROTATION

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ABSTRACT. We show that under the hypotheses of [11], a mirror partner of a K3 surface X with a fibration in special Lagrangian tori can be obtained by rotating the complex structure of X within its hyperkähler family of complex structures. Furthermore, the same hypotheses force the B-field to vanish.

1. INTRODUCTION

According to the proposal of Strominger, Yau and Zaslow [11], the mirror partner of a K3 surface X admitting a fibration in special Lagrangian tori should be identified with the moduli space of such fibrations (cf. also [7]). In more precise terms, the mirror partner \check{X} should be identified with a suitable compactification of the relative Jacobian of X' , where X' is an elliptic K3 surface obtained by rotating the complex structure of X within its hyperkähler family of complex structures.

Morrison [10] suggested that such a compactification is provided by the moduli space of torsion sheaves of degree zero and pure dimension one supported by the fibers of X' . (It should be noted that whenever the fibration $X' \rightarrow \mathbb{P}^1$ admits a holomorphic section, as it is usually assumed in the physical literature, the complex manifolds X' and \check{X} turn out to be isomorphic). In [1] Morrison's suggestion was implemented, and it was shown that the relative Fourier-Mukai

transform defined by the Poincaré sheaf on the fiber product $X' \times_{\mathbb{P}^1} \check{X}$ enjoys some good properties related to mirror symmetry; e.g., it correctly maps D-branes in X to D-branes in \check{X} , preserves the masses of the BPS states, etc. (The fact that the Fourier-Mukai transform might describe some aspects of mirror symmetry was already suggested in [3].)

It remains to check that \check{X} is actually a mirror of X in the sense of Dolgachev and Gross-Wilson, cf. [2, 5, 4]. In this note we show that this is indeed the case. Roughly speaking, we prove that whenever X admits a fibration in special Lagrangian tori with a section, and also admits an elliptic mirror \check{X} with a section,¹ then the complex structure of \check{X} is obtained by that of X by redefining the B-field and then performing a hyperkähler rotation. A more precise statement is as follows. Let M be a primitive sublattice of the standard 2-cohomology lattice of a K3 surface, and denote by \mathbf{K}_M the moduli space of pairs (X, j) , where X is a K3 surface, and $j: M \rightarrow \text{Pic}(X)$ is a primitive lattice embedding. Let $T = M^\perp$. We assume that T contains a $U(1)$ lattice P ; this means that the generic K3 surface X in \mathbf{K}_M , possibly after a rotation of its complex structure within its hyperkähler family, admits a fibration in special Lagrangian tori with a section. After setting $\tilde{M} = T/P$, we assume that the generic K3 surface in $\mathbf{K}_{\tilde{M}}$ is elliptic and has a section. These hypotheses force the B-field to be an integral class. Then, by setting to zero this class (as it seems to be suggested by the physics, since in string theory the B-field is a class in $H^2(X, \mathbb{R}/\mathbb{Z})$), and rotating the complex structure of X within its hyperkähler family of complex structures, we associate to $X \in \mathbf{K}_M$ a K3 surface \check{X} in $\mathbf{K}_{\tilde{M}}$ such that $\text{Pic}(\check{X}) \simeq \tilde{M}$.

2. SPECIAL LAGRANGIAN FIBRATIONS AND MIRROR K3 SURFACES

We collect here, basically relying on [6, 9, 2, 5], some basic definitions and constructions about mirror families of K3 surfaces.

Special Lagrangian submanifolds. Let X be an n -dimensional Kähler manifold with Kähler form ω , and suppose that on X there is a nowhere vanishing holomorphic n -form Ω . One says that a real n -dimensional submanifold $\iota: Y \hookrightarrow X$ is *special Lagrangian* if $\iota^*\omega = 0$, and Ω can be chosen so that the form $\iota^*\Re \Omega$ coincides with the volume form of Y . The moduli space of deformations of Y through special Lagrangian submanifolds was described in [9].

Let $n = 2$, assume that X is hyperkähler with Riemannian metric g , and choose basic complex structures I, J , and K . These generate an S^2 of complex structures compatible with the Riemannian metric of X , which we shall call the *hyperkähler family* of complex structures of X .

¹These are the same assumptions made in [11] on physical grounds.

Denote by ω_I , ω_J and ω_K the Kähler forms corresponding to the complex structures I , J and K . The 2-form $\Omega_I = \omega_J + i\omega_K$ never vanishes, and is holomorphic with respect to I . Thus, submanifolds of X that are special Lagrangian with respect to I , are holomorphic with respect to J (this is a consequence of Wirtinger's theorem, cf. [6]). If X is a complex K3 surface that admits a foliation by special Lagrangian 2-tori (in the complex structure I), then in the complex structure J it is an elliptic surface, $p: X' \rightarrow \mathbb{P}^1$. If one wants X to be compact then one must allow the fibration $p: X' \rightarrow \mathbb{P}^1$ to have some singular fibers, cf. [8].

Mirror families of K3 surfaces [2]. Let L denote the lattice over \mathbb{Z}

$$L = U(1) \perp U(1) \perp U(1) \perp E_8 \perp E_8$$

(by “lattice over \mathbb{Z} ” we mean as usual a free finitely generated \mathbb{Z} -module equipped with a symmetric \mathbb{Z} -valued quadratic form). If X is a K3 surface, the group $H^2(X, \mathbb{Z})$ equipped with the cohomology intersection pairing is a lattice isomorphic to L .

If M is an even nondegenerate lattice of signature $(1, t)$, a *M-polarized K3 surface* is a pair (X, j) , where X is a K3 surface and $j: M \rightarrow \text{Pic}(X)$ is a primitive lattice embedding. One can define a coarse moduli space \mathbf{K}_M of M -polarized K3 surfaces; this is a quasi-projective algebraic variety of dimension $19 - t$, and may be obtained by taking a quotient of the space

$$D_M = \{\mathbb{C}\Omega \in \mathbb{P}(M^\perp \otimes \mathbb{C}) \mid \Omega \cdot \Omega = 0, \Omega \cdot \bar{\Omega} > 0\}$$

by a discrete group Γ_M (which is basically the group of isometries of L that fix all elements of M) [2].

A basic notion to introduce the *mirror moduli space* to \mathbf{K}_M is that of *admissible m-vector*. We shall consider here only the case $m = 1$. Let us pick a primitive sublattice M of L of signature $(1, t)$.

Definition 2.1. *A 1-admissible vector $E \in M^\perp$ is an isotropic vector in M^\perp such that there exists another isotropic vector $E' \in M^\perp$ with $E \cdot E' = 1$.*

After setting

$$\check{M} = E^\perp / \mathbb{Z}E$$

one easily shows that there is an orthogonal decomposition $M^\perp = P \oplus \check{M}$, where P is the hyperbolic lattice generated by E and E' . The orthogonal of E is taken here in M^\perp . The *mirror moduli space* to \mathbf{K}_M is the space $\mathbf{K}_{\check{M}}$. Of course one has

$$\dim \mathbf{K}_M + \dim \mathbf{K}_{\check{M}} = 20.$$

The operation of taking the “mirror moduli space” is a duality, i.e. $\check{\check{M}} \simeq M$ (this works so because we consider the case of a 1-admissible vector, and is no longer true for $m > 1$).

The interplay between special Lagrangian fibrations and mirror K3 surfaces. Let again M be an even nondegenerate lattice of signature $(1, t)$, and suppose that X is K3 surface such that $\text{Pic}(X) \simeq M$. The transcendental lattice T (the orthogonal complement of $\text{Pic}(X)$ in $H^2(X, \mathbb{Z})$) is an even lattice of signature $(2, 19 - t)$. Let $\Omega = x + iy$ be a nowhere vanishing, global holomorphic two-form on X . Being orthogonal to all algebraic classes, the cohomology class of Ω spans a space-like 2-plane in $T \otimes \mathbb{R}$. The moduli space of K3 such that $\text{Pic}(X) \simeq M$ is parametrized by the periods, whose real and imaginary parts are given by intersection with x and y , respectively. Indeed, one should recall that if we fix a basis of the cohomology lattice $H^2(X, \mathbb{Z})$ given by integral cycles α_i , $i = 1, \dots, 22$, every complex structure on X is uniquely determined, via Torelli's theorem, by the complex valued matrix whose entries ϖ_i are given by the intersections of the cycles α_i with the class of the holomorphic two-form Ω , i.e. $\varpi_i = \alpha_i \cdot \Omega$. This shows that generically neither x nor y are integral classes in the cohomology ring. However, if we make the further request that there is a 1-admissible vector in T , and make some choices, one of the two classes is forced to be integral.

We recall now a result from [5] (although in a slightly weaker form).

Proposition 2.2. *There exists in T a 1-admissible vector if and only if there is a complex structure on X such that X has a special Lagrangian fibration with a section.*

So we consider on X a complex structure satisfying this property (it follows from [5] that, if we fix a hyperkähler metric on X , this complex structure belongs to the same hyperkähler family as the one we started from). As a direct consequence we have

Proposition 2.3. *If there exists a 1-admissible vector in T one can perform a hyperkähler rotation of the complex structure and choose a nowhere vanishing two-form Ω , holomorphic in the new complex structure, whose real part $\Re \Omega$ is integral.*

Proof. By Proposition 1.3 of [5] the existence of a 1-admissible vector implies the existence on X of a special Lagrangian fibration with a section. On the other hand by [6] what is special Lagrangian in a complex structure is holomorphic in the complex structure in which the Kähler form is given by $\Re \Omega$. Thus in this complex structure the Picard group is nontrivial, which implies that the surface is algebraic, i.e. $\Re \Omega$ is integral. \square

3. THE CONSTRUCTION

We introduce now a moduli space $\tilde{\mathbf{K}}_M$ parametrizing M -polarized K3 surfaces together with of a 1-admissible vector in $T = M^\perp$. The generic K3

surface X in $\tilde{\mathbf{K}}_M$ admits a fibration in special Lagrangian tori with a section; the primitive $U(1)$ sublattice P of the transcendental lattice T associated with the 1-admissible vector is generated by the class of the fiber and the class of the section. We fix a marking² of X , i.e., a lattice isomorphism $\psi: H^2(X, \mathbb{Z}) \rightarrow L$. We have an isomorphism

$$L \simeq M \oplus P \oplus \check{M},$$

where $\check{M} = T/P$. The fact that $\check{M} \simeq M$ implies that the moduli spaces $\tilde{\mathbf{K}}_M$ and $\tilde{\mathbf{K}}_{\check{M}}$ are isomorphic. Generically, we may assume that $M \simeq \psi(\text{Pic}(X))$.

One easily shows that the following assumptions are generically equivalent to each other (where “generically” means that this holds true for X in a dense open subset of $\tilde{\mathbf{K}}_M$):

- (i) The lattice \check{M} contains a primitive $U(1)$ sublattice P' .
- (ii) The generic K3 surface in the mirror moduli space $\mathbf{K}_{\check{M}}$ is an elliptic fibration with a section.
- (iii) X carries two fibrations in special Lagrangian tori admitting a section, in such a way that the corresponding $U(1)$ lattices P, P' are orthogonal.³

The two $U(1)$ lattices P and P' are interchanged by an isometry of L . Thus, the operation of exchanging them has no effect on the moduli space \mathbf{K}_M (although it does on D_M).

We shall assume one of these equivalent conditions. The form (ii) of the second condition shows that we are working exactly under the same assumptions that in [11] are advocated on physical grounds.

In the complex structure of X we have fixed at the outset we have the Kähler form ω and the holomorphic two-form $\Omega = x + iy$, with x an integral class. Condition (iii) means that P' is calibrated by x . If we perform a rotation around the y axis, mapping the pair (ω, x) to $(x, -\omega)$, we still obtain an algebraic K3 surface X' whose Picard group contains P' [5].

Now we want to show that the Kähler class of X' is a space-like vector contained in the hyperbolic lattice P' . We remind here that the explicit mirror map in [2] and [5] is given in terms of a choice of a hyperbolic sublattice of the transcendental lattice. Let D_M be defined as in Section 2, and let

$$T_M = \{B + i\omega \in M \otimes \mathbb{C} \mid \omega \cdot \omega > 0\} = M \times V(M)^+.$$

Here $V(M)^+$ is the component of the positive cone in $M \otimes \mathbb{R}$ that contains the Kähler form of X . The space T_M can be regarded as a (covering of the) moduli space of “complexified Kähler structures” on X . Let $M' = T/P' \simeq \check{M}$. By [5] Proposition 1.1, the mirror map is an isomorphism

$$\phi: T_{M'} \rightarrow D_M,$$

²Since we are fixing a marking of X in the following we shall often confuse the lattices $H^2(X, \mathbb{Z})$ and L .

³Then one shows that the direct sum $P \oplus P'$ is an orthogonal summand of T .

$$\phi(\check{B} + i\check{\omega}) = \check{B} + E' + \frac{1}{2}(\check{\omega} \cdot \check{\omega} - \check{B} \cdot \check{B})E + i(\check{\omega} - (\check{\omega} \cdot \check{B})E).$$

Here E and E' are the two isotropic generators of the $U(1)$ lattice P' , while \check{B} is what the physicists call the B-field. Our holomorphic two-form Ω is of course of the form $\phi(\check{B} + i\check{\omega})$ for suitable \check{B} and $\check{\omega}$, since ϕ is an isomorphism. The Kähler class of X' is given by

$$x = \Re \Omega = \check{B} + E' + \frac{1}{2}(\check{\omega} \cdot \check{\omega} - \check{B} \cdot \check{B})E$$

and the new global holomorphic two-form is $-\omega + iy$. Since \check{B} is orthogonal to E and E' , it is an integral class.

However, the Picard lattice of the K3 surface X' is generically not isomorphic to \check{M} . A better choice is suggested by the physics. Indeed in most string theory models the B-field is regarded as a Chern-Simons term, namely, as a class in $H^2(X, \mathbb{R}/\mathbb{Z})$; so, if we consider the projection $\lambda: H^2(X, \mathbb{R}) \rightarrow H^2(X, \mathbb{R}/\mathbb{Z})$, the relevant moduli space should be

$$\tilde{T}_{M'} = \lambda(M' \otimes \mathbb{R}) \times V(M')^+$$

instead of $T_{M'}$. To take this suggestion into account we set $\check{B} = 0$. Since $y = \check{\omega} - (\check{\omega} \cdot \check{B})E$, this changes the complex structure in X' . Moreover, x lies now in P' .

So, let us now consider the intersection of $P \otimes \mathbb{R}$ with the spacelike two-plane $\langle \Omega \rangle$ spanned by Ω . This cannot be trivial, since P is hyperbolic and $T \otimes \mathbb{R}$ is of signature $(2, 19 - t)$. So we have a real space-like class in $P \otimes \mathbb{R} \cap \langle \Omega \rangle$ that is orthogonal to x by construction and thus must be equal (up to a scalar factor) to y . But then, in the complex structure in which the Kähler form is given by x , all the cycles of \check{M} are orthogonal to the new holomorphic two-form, given by $\omega + iy$, and therefore are algebraic. (Notice that the class y is not integral.)

4. CONCLUSIONS

A first conclusion we may draw is that the hypotheses of [11] force the B-field to be integral, namely, to be zero as a class $\check{B} \in H^2(X, \mathbb{R}/\mathbb{Z})$. Moreover, starting from a K3 surface X in $\check{\mathbf{K}}_M$, the construction in the previous section singles out a point in the variety $\check{\mathbf{K}}_{\check{M}}$; so we have established a map

$$\mu: \check{\mathbf{K}}_M \rightarrow \check{\mathbf{K}}_{\check{M}}$$

which is bijective by construction, and deserves to be called *the mirror map*. This map consists in setting \check{B} to zero (as a class in $H^2(X, \mathbb{Z})$) and then performing a hyperkähler rotation.

If we do not set \check{B} to zero, we obtain a family of K3 surfaces, labelled by the possible values of $\check{B} \in M' \simeq \check{M}$. Its counterpart under mirror symmetry is a family of K3 surfaces labelled by M . The two families are related by a hyperkähler rotation.

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